

# **Listing Constructional Data Report (CDR)**

| 1.0 Reference and Address |  |                   |  |  |  |  |
|---------------------------|--|-------------------|--|--|--|--|
| Report Number             | 140900039SHA-002 Original Iss  | sued: 21-Oct-2014 | Revised: None  |  |  |  |
| Standard(s)               | Standard for Safety for Information Technology Equipment Safety Part 1: General Requirements: (UL 60950-1 Issued: 2007/03/27 Ed:2 Rev: 2011/12/19 & CAN/CSA C22.2 No.60950-1 Issued: 2007/03/27 Ed:2 (R 2012) Rev: 2011/12/19) |                   |  |  |  |  |
| Applicant                 | GlobTek, Inc.  | Manufacturer      | GlobTek (Suzhou) Co., Ltd.   |  |  |  |
| Address                   | 186 Veterans Dr. Northvale, NJ 0764<br>USA   | 7 Address         | Building 4. No 76 JinLing East Road,<br>Suzhou Industrial Park, Suzhou,<br>JiangSu, 215021 |  |  |  |
| Country                   | USA  | Country           | China  |  |  |  |
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| FAX                       | (201)784-0111  | FAX               | 86 512 6279 0355   |  |  |  |
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| 2.0 Product D       | escription  |
|---------------------|---|
| Product             | ITE/Medical Power Supply  |
| Brand name          | GlobTek   |
| Description         | Product covered by this report is power supply module. The different models are corresponding to two structure types respectively.  One is direct plug-in power adapter with interchangeable plug portion, which is Class II apparatus. It can be used with different plug types. The evaluation reports of the different plug types are also attached with this report. Two pieces of outer enclosure are enclosed with ultrasonic welding without screw.  Model GT-41134-0606-W2-TAB is special direct plug-in type for North America market, with particular housing, varistor and fixed NEMA 1-15P plug.  |
| Models              | GT*41134-*** (The 1st "*" part can be "M" or '-' or 'H'; The 2nd "*" part can be "01" to "06", with interval of 1; The 3rd "*" part can be "03", "04", "06", "12", "15", "18", "24", "36" or "48"; The 4th "*" part can be "-0.1" to "-11.9" with interval of 0.1 or blank.) or GT-41134-0606-W2-TAB  |
| Model<br>Similarity | GT*41134-*** The 1st "*" part can be 'M' or '-' or 'H' for market identification and not related to safety. The 2nd "*" denotes the rated output wattage designation, which can be "01" to "06", with interval of 1. The 3rd "*" denotes the standard rated output voltage designation, which can be "03", "04", "06"," 12", "15", "18", "24", "36" or "48". These standard rated output voltage designations correspond to seven isolated transformer models (See the section 4.0 for details). Each transformer model is identical in insulation construction including clearance and creepage except number of turns per coil. The 4th "*" is optional deviation, subtracted from standard output voltage, which can be "-0.1" to "-11.9" with interval of 0.1, or blank to indicate no voltage different. The 3rd "*" and 4th "*" together denote the output voltage, with a range of 3.3 - 48 volts. |
| Ratings             | Input: $100-240V$ $\sim$ , $50-60$ Hz, $0.6A$ for GT*41134-***; $120V$ $\sim$ , $60$ Hz, $0.3A$ for GT-41134-0606-W2-TAB Output: Refer to illustration No.1 for details.  |
| Other Ratings       | N/A   |

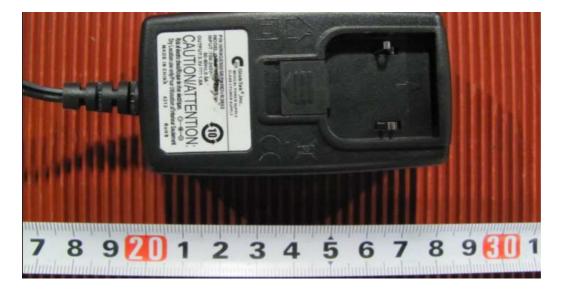
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# 3.0 Product Photographs

# PHOTO 1 - EXTERNAL VIEW - 1 OF ADAPTER MODEL GT\*41134-\*\*\*



# PHOTO 2: EXTERNAL VIEW - 2 OF ADAPTER MODEL GT\*41134-\*\*\*



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# 3.0 Product Photographs

PHOTO 3: EXTERNAL VIEW - 3 OF ADAPTER MODEL GT\*41134-\*\*\*



PHOTO 4: INTERNAL VIEW OF ADAPTER MODEL GT\*41134-\*\*\*



PHOTO 5: COMPONENT SIDE VIEW OF PCB OF ADAPTER MODEL GT\*41134-\*\*\*

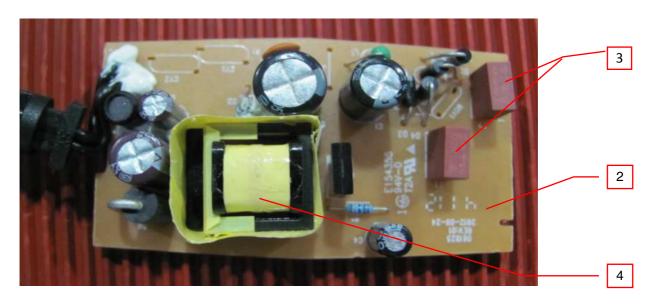
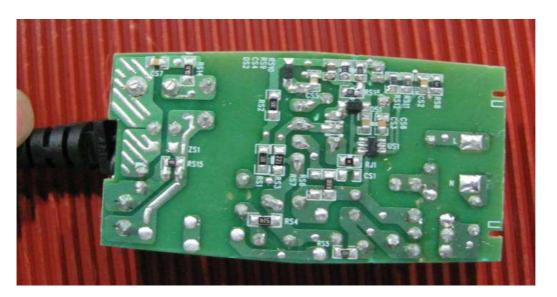


PHOTO 6: SOLDERING SIDE VIEW OF PCB OF ADAPTER MODEL GT\*41134-\*\*\*



# PHOTO 7: EXTERNAL VIEW - 1 OF MODEL GT-41134-0606-W2-TAB



PHOTO 8: EXTERNAL VIEW - 2 OF MODEL GT-41134-0606-W2-TAB



Photo 9: Component side view of PCB of model GT-41134-0606-W2-TAB

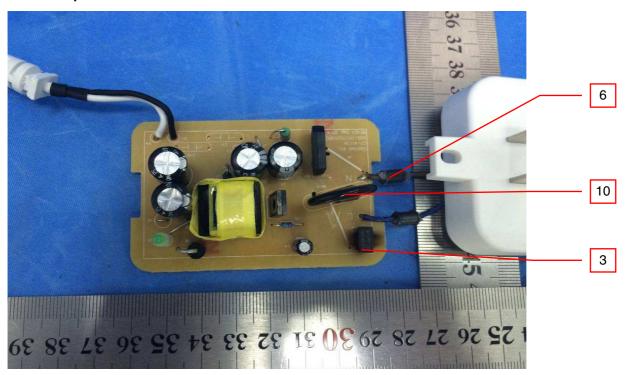


Photo 10: Soldering side view of PCB of model GT-41134-0606-W2-TAB

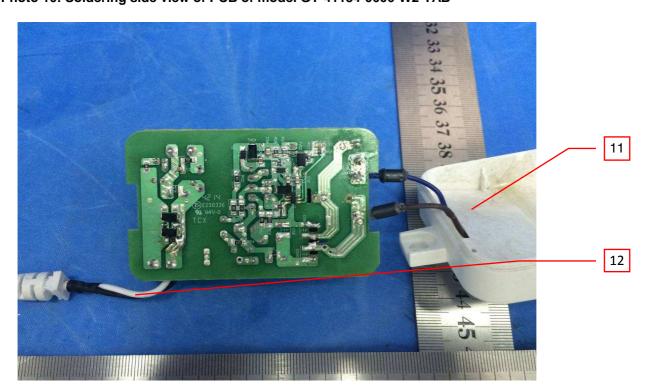


Photo 15: Plug pin side view of NEMA 1-15P plug portion

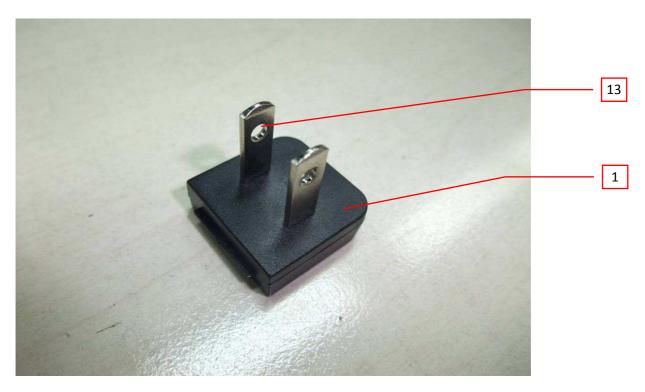


Photo 16: Connector side view of NEMA 1-15P plug portion



| 4.0 C   | 4.0 Critical Components  |                             |  |                                   |   |                                    |
|---------|--------------------------|-----------------------------|--|-----------------------------------|---|------------------------------------|
| Photo # | Item<br>no. <sup>1</sup> | Name                        | Manufacturer/<br>trademark <sup>2</sup>            | Type / model <sup>2</sup>         | Technical data and securement means       | Mark(s) of conformity <sup>3</sup> |
|         |                          |                             | SABIC INNOVATIVE<br>PLASTICS B V                   | SE1<br>SE1X                       | Min. V-1 at 1.5 mm thickness              |                                    |
|         |                          |                             | SABIC INNOVATIVE<br>PLASTICS B V                   | SE100                             | Min. V-1 at 2.0 mm thickness              |                                    |
| 1, 8    | 1                        | Enclosure &<br>Blade holder | SABIC INNOVATIVE<br>PLASTICS B V                   | C2950<br>CX721<br>EXCY0098<br>940 | Min. V-0 at 2.0 mm thickness              | cURus                              |
|         |                          |                             | TEIJIN CHEMICALS<br>LTD                            | LN-1250P<br>LN-1250G              | Min. V-0 at 2.0 mm thickness              |                                    |
|         |                          |                             | CHI MEI CORP                                       | PA-765A                           | Min. V-1 at 2.0 mm thickness              | 1                                  |
|         |                          |                             | CHI MEI CORP                                       | PC-540                            | Min. V-0 at 2.0 mm thickness              |                                    |
|         |                          |                             | TECHNI<br>TECHNOLOGY LTD                           | T2A<br>T2B<br>T4                  |   |                                    |
|         |                          | 2 PCB material              | DONGGUAN HE<br>TONG ELECTRONICS<br>CO LTD          | CEM1<br>2V0<br>FR4                |   | cURus                              |
|         |                          |                             | CHEERFUL   | 03                                | -Min. 1.6 mm thickness, min. V-0,<br>130℃ |                                    |
|         |                          |                             | ELECTRONIC  DONGGUAN DAYSUN  ELECTRONIC CO LTD     | DS2                               |   |                                    |
|         |                          |                             | SUZHOU CITY<br>YILIHUA<br>ELECTRONICS CO<br>LTD    | YLH-1                             |   |                                    |
| 5       | 2                        |                             | SHANGHAI AREX PRECISION ELECTRONIC CO LTD          | 04V0<br>02V0                      |   |                                    |
|         |                          |                             | BRITE PLUS<br>ELECTRONICS<br>(SUZHOU) CO LTD       | DKV0-3A<br>DGV0-3A                |   |                                    |
|         |                          |                             | KUOTIANG ENT LTD                                   | C-2<br>C-2A                       |   |                                    |
|         |                          |                             | PACIFIC WIN INDUSTRIAL LTD                         | PW-02,<br>PW-03                   |   |                                    |
|         |                          |                             | SHENZHEN<br>TONGCHUANGXIN<br>ELECTRONICS CO<br>LTD | тсх                               |   |                                    |
|         |                          |                             | Various  | Various                           |   |                                    |

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4.0 Critical Components Photo Manufacturer/ Mark(s) of Item Technical data and securement Name Type / model<sup>2</sup> no.1 trademark<sup>2</sup> means conformity<sup>3</sup> # **CONQUER** T1A or T6.3A, 250V, Rated **ELECTRONICS CO MST** breaking capacity 100A LTD **EVER ISLAND** T1A or T6.3A, 250V, Rated **ELECTRIC CO LTD &** 2010 breaking capacity 130A WALTER ELECTRIC T1A or T6.3A, 250V, Rated **RST** breaking capacity 100A **BEL FUSE INC** T1A or T6.3A, 250V, Rated 5ST breaking capacity 35A T1A or T6.3A, 250V, Rated **COOPER BUSSMANN** SS-5 LLC breaking capacity 35A Fuse<sup>4</sup> (F1, F2) 5, 9 3 cURus DAS & SONS T1A or T6.3A, 250V, Rated 385T series (F2 is optional) INTERNATIONAL LTD breaking capacity 35A SHENZHEN LANSON T1A or T6.3A, 250V, Rated SMT **ELECTRONICS CO** breaking capacity 35A LTD T1A or T6.3A, 250V, Rated **WALTER** ICP series **ELECTRONIC CO LTD** breaking capacity 50A. ZHONG SHAN T1A or T6.3A, 250V, Rated LANBAO ELECTRICAL RTI-10 series breaking capacity 50A APPLIANCES CO LTD T1A or T6.3A, 250V, Rated 5T SUN ELECTRIC CO breaking capacity 100A XF00716I for 3.3-4.9V XF00714I for 5-8.9V XF00717 for 9-14.9V Isolation Class B with insulation system GlobTek/ BOAM/ XF00718 for 5 4 transformer NR **HAOPUWEI** 15-18.9V below. (T1) XF00719 for 19-24V XF00814 for 24.1-36V XF00841 for 36.1-48V ENG130-1 GTX-130-TM **GLOBTEK INC** Insulation SHAN DONG BOAM 5 4a Class B cURus BOAM-01 system ELECTRIC CO LTD **WUXI HAOPUWEI ELECTRONICS CO** ZT-130 LTD

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| 4.0 (   | Critic       | al Components  |   |  |  |                                    |  |
|---------|--------------|--|---|--|--|------------------------------------|--|
| Photo # | Item<br>no.1 | Name   | Manufacturer/<br>trademark <sup>2</sup>     | Type / model <sup>2</sup>                | Technical data and securement means          | Mark(s) of conformity <sup>3</sup> |  |
|         |              |  | TDK CORP                                    | CD                                       | Type Y1, max. 470pF, min. 250V,<br>min. 125℃ |                                    |  |
|         |              |  | SUCCESS<br>ELECTRONICS CO<br>LTD            | SE<br>SB                                 | Type Y1, max. 470pF, min. 250V,<br>min. 125℃ |                                    |  |
|         |              |  | MURATA MFG CO LTD                           | кх                                       | Type Y1, max. 470pF, min. 250V, min. 125℃    |                                    |  |
|         |              | Y-Capacitor  | WALSIN<br>TECHNOLOGY CORP                   | АН                                       | Type Y1, max. 470pF, min. 250V, min. 125℃    |                                    |  |
| 5       | 5            | (CY1 & CY2)<br>(Optional) (Not   | JYA-NAY CO LTD                              | JN                                       | Type Y1, max. 470pF, min. 250V, min. 125℃    | cURus                              |  |
|         |              | shown)   | HAOHUA<br>ELECTRONIC CO                     | CT7                                      | Type Y1, max. 470pF, min. 250V, min. 125℃    |                                    |  |
|         |              |  | JERRO<br>ELECTRONICS CORP                   | JX-series                                | Type Y1, max. 470pF, min. 250V, min. 125℃    |                                    |  |
|         |              |  | JYH CHUNG<br>ELECTRONICS CO<br>LTD          | JD                                       | Type Y1, max. 470pF, min. 250V, min. 125℃    |                                    |  |
|         |              |  | WELSON INDUSTRIAL CO LTD                    | WD                                       | Type Y1, max. 470pF, min. 250V, min. 125℃    |                                    |  |
|         |              |  | JOYIN CO LTD                                | 07N471K<br>10N471K<br>14N471K<br>07D471K |  |                                    |  |
|         |              |  | CENTRA SCIENCE<br>CORP                      | 10D471K<br>14D471K                       |  |                                    |  |
|         |              |  | THINKING<br>ELECTRONIC<br>INDUSTRIAL CO LTD | TVR07471K<br>TVR10471K<br>TVR14471K      |  |                                    |  |
|         |              |  | SUCCESS<br>ELECTRONICS CO<br>LTD            | SVR07D471K<br>SVR10D471K<br>SVR14D471K   |  |                                    |  |
| 5       | 6            | (Optional) (Not shown)  BRIGHTKING (SHENZHEN) CO LT  LIEN SHUN ELECTRONICS CO LTD  HONGZHI | CERAMATE<br>TECHNICAL CO LTD                | GNR07D471K<br>GNR10D471K<br>GND14D471K   | Maximum continuous voltage:                  | cURus                              |  |
|         |              |  | BRIGHTKING<br>(SHENZHEN) CO LTD             | 07D471K<br>10D471K<br>14D471K            |  |                                    |  |
|         |              |  | ELECTRONICS CO                              | 07D471K<br>10D471K<br>14D471K            | -  |                                    |  |
|         |              |  |   | HONGZHI<br>ENTERPRISES LTD               | HEL-07D471K<br>HEL-10D471K<br>HEL-14D471K    |                                    |  |
|         |              |  | FUTURE<br>INFORMATION                       | 07D471K<br>10D471K<br>14D471K            |  |                                    |  |

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| 4.0 (   | 4.0 Critical Components |   |  |                                     |                                      |                                    |
|---------|-------------------------|---|--|-------------------------------------|--------------------------------------|------------------------------------|
| Photo # | Item<br>no.1            | Name  | Manufacturer/<br>trademark <sup>2</sup>  | Type / model <sup>2</sup>           | Technical data and securement means  | Mark(s) of conformity <sup>3</sup> |
| 9       | 7                       | Internal primary<br>wiring                        | DONGGUAN YUE YANG WIRE & CABLE YONG HAO ELECTRICAL INDUSTRY CO LTD HIP TAI ELECTRIC WIRE CO KUNSHAN NEW ZHICHENG ELECTRONICS TECHNOLOGIES CO LTD SHENG YU ENTERPRISE CO LTD SUZHOU YEMAO ELECTRONIC CO LTD SUZHOU HONGMENG ELECTRONIC CO LTD ZHUANG SHAN CHUAN ELECTRICAL PRODUCTS (KUNSHAN) CO LTD SUZHOU QCTECH CO LTD | 1007, 1015,<br>1185, 2464,<br>2468  | Min. 18AWG, min. 300Vac, min.<br>80℃ | cURus                              |
| 13      |                         | Varistor<br>(MOV1)                                | Panasonic Corporation Brightking (Shenzhen) Co., Ltd.  | ERZV20D241<br>(V20241U)<br>241KD20J | Max continuous voltage: 150VAC       | ol IPuo                            |
| 13      | 10                      | (optional) (only<br>for GT-41134-<br>0606-W2-TAB) | EPCOS Thinking Electronic Industrial Co., Ltd.   | S20K150<br>TVR20241K                |                                      | cURus                              |
|         |                         |   | Success Electronics Co., Ltd.  | SVR20D241K                          |                                      |                                    |

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| 4.0 (   | 4.0 Critical Components |  |   |   |                                      |                                    |
|---------|-------------------------|--|---|---|--------------------------------------|------------------------------------|
| Photo # | Item<br>no.1            | Name   | Manufacturer/<br>trademark <sup>2</sup> | Type / model <sup>2</sup>   | Technical data and securement means  | Mark(s) of conformity <sup>3</sup> |
|         |                         | FORMEX,DIV OF IL<br>TOOL WORKS INC,<br>FRMRLY FASTEX, DIV<br>OF IL TOOL WORKS<br>INC | FORMEX GK<br>series                     | V-0, min. 0.4 mm thickness, 115°  |                                      |                                    |
|         |                         |  | MIANYANG LONGHUA<br>FILM CO LTD         | PP-WT-20  | VTM-0, min. 0.4 mm thickness,<br>65℃ |                                    |
|         |                         |  | SKC CO LTD                              | SH71S   | VTM-2, min. 0.4 mm thickness, 105℃   |                                    |
| 1/      | 14 11                   | only for GT-   | TORAY INDUSTRIES INC                    | Lumirror H10  | VTM-2, min. 0.4 mm thickness, 105℃   | cURus                              |
| 14      |                         | 41134-0606-<br>W2-TAB  | SABIC INNOVATIVE<br>PLASTICS US L L C   | FR60 series<br>FR63 series<br>FR65 series<br>FR7 series<br>FR700 series | V-0, min. 0.4 mm thickness, 130° C   |                                    |
|         |                         | ITW ELECTRONICS COMPONENTS/  |   | PP-BK series<br>PP-WT series  | V-0, min. 0.4 mm thickness, 80℃      |                                    |
|         |                         |  | FORMEX-18<br>FORMEX-17                  | V-0, min. 0.4 mm thickness, 100°  |                                      |                                    |
| 14      | 12                      | Output cord  | Various                                 | Various   | Min. 24AWG, min. 300Vac, min. 80℃    | cURus                              |
| 15      | 13                      | Plug portion   | GlobTek                                 | Various   | NEMA 1-15P                           | NR                                 |

### NOTES:

- 1) Not all item numbers are indicated (called out) in the photos, as their location is obvious.
- 2) "Various" means any type, from any manufacturer that complies with the "Technical data and securement means" and meets the "Mark(s) of conformity" can be used.
- 3) Indicates specific marks to be verified, which assures the agreed level of surveillance for the component. "NR" indicates Unlisted and only visual examination is necessary. "See 5.0" indicates Unlisted components or assemblies to be evaluated periodically refer to section 5.0 for details.
- 4) For GT-41134-0606-W2-TAB, the fuse rating is T6.3A and evaluated separately.

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# 5.0 Critical Unlisted CEC Components

No Unlisted CEC components are used in this report.

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### 6.0 Critical Features

<u>Recognized Component</u> - A component part, which has been previously evaluated by an accredited certification body with restrictions and must be evaluated as part of the basic product considering the restrictions as specified by the Conditions of Acceptability.

<u>Listed Component</u> - A component part, which has been previously Listed or Certified by an accredited Certification Organization with no restrictions and is used in the intended application within its ratings.

<u>Unlisted Component</u> - A part that has not been previously evaluated to the appropriate designated component standard. It may also be a Listed or Recognized component that is being used outside of its evaluated Listing or component recognition.

<u>Critical Features/Components</u> - An essential part, material, subassembly, system, software, or accessory of a product that has a direct bearing on the product's conformance to applicable requirements of the product standard.

<u>Construction Details</u> - For specific construction details, reference should be made to the photographs and descriptions. All dimensions are approximate unless specified as exact or within a tolerance. In addition to the specific construction details described in this Report, the following general requirements also apply.

- Spacing In primary circuits, 2.0 mm minimum spacing are maintained through air between current-carrying
  parts of opposite polarity and 4.0 mm minimum between such current-carrying parts and dead-metal parts or
  low voltage isolated circuits. In primary circuits, 2.4 mm minimum spacing are maintained over surfaces of
  insulating material between current-carrying parts of opposite polarity and 4.8 mm minimum between such
  current-carrying parts and dead-metal parts or low voltage isolated circuits.
- 2. <u>Mechanical Assembly</u> Components such as switches, fuseholders, connectors, wiring terminals and display lamps are mounted and prevented from shifting or rotating by the use of lockwashers, starwashers, or other mounting format that prevents turning of the component.
- 3. <u>Corrosion Protection</u> All ferrous metal parts are protected against corrosion by painting, plating or the equivalent.
- 4. <u>Accessibility of Live Parts</u> For adapter models, all uninsulated live parts in primary circuitry are housed within a non-metallic enclosure constructed with no openings and metal enclosure earthed with ventilation holes other than those specifically described in Sections 4 and 5.
- 5. <u>Grounding</u> All exposed dead-metal parts and all dead-metal parts within the enclosure that are exposed are connected to the grounding lead of the power supply cord and the equipment grounding terminal.
- 6. Polarized Connection This product is provided with a polarized power supply connection.
- 7. Internal Wiring Internal wiring is routed away from sharp or moving parts. Internal wiring leads terminating in soldered connections are made mechanically secure prior to soldering. Recognized Component separable (quick disconnect) connectors of the positive detent type, closed loop connectors, or other types specifically described in the text of this report are also acceptable as internal wiring terminals. At pointswhere internal wiring passes through metal walls or partitions, the wiring insulation is protected against abrasion or damage by plastic bushings or grommets. UL approved wiring is used as secondary output lead wire of SELV circuits.
- 8. <u>Schematics</u> Refer to Illustration No(s). 2, 3a&3b for schematics & PCB layout requiring verification during Field Representative Inspection Audits.
- 9. <u>Markings</u> The product is marked as follows: brand name, model number, electrical ratings, manufacturer. Refer to Illustration No. 4 for details.
- 10. Cautionary Markings Refer to illustrations No. 4 for details.
- 11. <u>Safety Instructions</u> Instructions for installation and use of this product are provided by the manufacturer. They are kept in file and need not be repeated here.

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# 7.0 Illustrations

# Illustration 1 - Model list

| Model                | voltage  | Max.current | Max.power |
|----------------------|----------|-------------|-----------|
| GT*41134-*03         | 3.3V     | 1.8A        | 6W        |
| GT*41134-*04*        | 3.4-4V   | 1.76A       | 6W        |
| GT*41134-*06*        | 4.1-6V   | 1.46A       | 6W        |
| GT*41134-*12*        | 6.1-12V  | 0.98A       | 6W        |
| GT*41134-*15*        | 12.1-15V | 0.50A       | 6W        |
| GT*41134-*18*        | 15.1-18V | 0.40A       | 6W        |
| GT*41134-*24*        | 18.1-24V | 0.33A       | 6W        |
| GT*41134-*36*        | 24.1-36V | 0.25A       | 6W        |
| GT*41134-*48*        | 36.1-48V | 0.16A       | 6W        |
| GT-41134-0606-W2-TAB | 6V       | 1A          | 6W        |

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### 7.0 Illustrations

### Illustration 4 - Marking

The marking plates of the other models listed in this report are identical with below except model name and output parameter.

### For adapter model



### Especially for North American model GT-41134-0606-W2-TAB



## **ETL Mark**



Conforms to UL STD 60950-1 Certified to CAN/CSA STD C22.2 No.60950-1

GlobTek, Inc. Revised: None 8.0 Test Summary 2014-09-01 ~ 2014-10-13 Project No. 140900039SHA **Evaluation Period** Condition Prototype Sample ID. 0140828-52-001 Sample Rec. Date 28-Aug-2014 **Test Location** Building No.86, 1198 Qinzhou Road (North), Shanghai 200233, China Test Procedure **Testing Lab** Determination of the result includes consideration of measurement uncertainty from the test equipment and methods. The product was tested as indicated below with results in conformance to the relevant test criteria. The following tests were performed:

| 0  |
|--|
| Standard for Safety for Information Technology     |
| Equipment Safety Part 1: General Requirements: (UL |
| 60950-1 Issued: 2007/03/27 Ed:2 Rev: 2011/12/19 &  |
| CAN/CSA C22.2 No.60950-1 Issued: 2007/03/27 Ed:2   |
| (R 2012) Rev: 2011/12/19)                          |
| Clause   |
| 1.6.2  |
| 1.7.11   |
| 2.1.1.1  |
| 2.2.2  |
| 2.2.3  |
| 2.4  |
| 2.5  |
| 2.9.2  |
| 2.10.2   |
| 2.10.3/2.10.4                                      |
| 2.10.5   |
| 4.2.2  |
| 4.2.4  |
| 4.3.6  |
| 4.5.1  |
| 4.5.5  |
| 5.1  |
| 5.2  |
| 5.3  |
|  |

# 8.1 Signatures A representative sample of the product covered by this report has been evaluated and found to comply with the applicable requirements of the standards indicated in Section 1.0. Completed by: Jamie Wu Reviewed by: Justin Yu Title: Reviewer Signature: Signature:

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9.0 Correlation Page For Multiple Listings

The following products, which are identical to those identified in this report except for model number and Listee name, are authorized to bear the ETL label under provisions of the Intertek Multiple Listing Program. GlobTek, Inc. **BASIC LISTEE** 186 Veterans Dr. Northvale, NJ 07647 USA Address USA Country ITE/Medical Power Supply **Product** MULTIPLE LISTEE 1 None Address Country **Brand Name ASSOCIATED MANUFACTURER** Address Country MULTIPLE LISTEE 1 MODELS BASIC LISTEE MODELS MULTIPLE LISTEE 2 None Address Country **Brand Name ASSOCIATED MANUFACTURER** Address Country **MULTIPLE LISTEE 2 MODELS BASIC LISTEE MODELS** MULTIPLE LISTEE 3 None Address Country **Brand Name ASSOCIATED MANUFACTURER** Address Country **MULTIPLE LISTEE 3 MODELS BASIC LISTEE MODELS** 

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Report No. 140900039SHA-002 GlobTek, Inc.

### 10.0 General Information

The Applicant and Manufacturer have agreed to produce, test and label ETL Listed products in accordance with the requirements of this Report. The Manufacturer has also agreed to notify Intertek and to request authorization prior to using alternate parts, components or materials.

### **COMPONENTS**

Components used shall be those itemized in this Intertek report covering the product, including any amendments

### LISTING MARK

The ETL Listing mark applied to the products shall either be separable in form, such as labels purchased from Intertek, or on a product nameplate or other media only as specifically authorized by Intertek. Use of the mark is subject to the control of Intertek.

The mark must include the following four items:

- 1) applicable country identifiers "US" and/or "C" or "US", "C" and "EU"
- 2) the word "Listed" or "Classified" or "Recognized Component" (whichever is appropriate)
- 3) a control number issued by Intertek
- 4) a product descriptor that identifies the standards used for certification. Example:

**For US standards**, the words, "Conforms to" shall appear with the standard number along with the word, "Standard" or "Std." Example: "Conforms to ANSI/UL Std. XX."

**For Canadian standards**, the words "Certified to CAN/CSA Standard CXX No. XX." shall be used, or abbreviated, "Cert. to CAN/CSA Std. CXX No. XX."

Can be used together when both standards are used.

Note: A facsimile must be submitted to Intertek, Attn: Follow-up Services for approval prior to use. The facsimile need not have a control number. A control number will be issued after signed Certification Agreements have been received by the Follow-up Services office, approval of the facsimile of your proposed Listing Mark, satisfactory completion of the Listing Report, and scheduling of a factory assessment in your facility.

### MANUFACTURING AND PRODUCTION TESTS

Manufacturing and Production Tests shall be performed as required in this Report.

### FOLLOW-UP SERVICE

Periodic unannounced audits of the manufacturing facility (and any locations authorized to apply the mark) shall be scheduled by Intertek. An audit report shall be issued after each visit. Special attention will be given to the following:

- Conformance of the manufactured product to the descriptions in this Report.
- 2. Conformance of the use of the ETL mark with the requirements of this Report and the Certification Agreement.
- 3. Manufacturing changes.
- 4. Performance of specified Manufacturing and Production Tests.

In the event that the Intertek representative identifies non-conformance(s) to any provision of this Report, the Applicant shall take one or more of the following actions:

- 1. Correct the non-conformance.
- 2. Remove the ETL Mark from non-conforming product.
- 3. Contact the issuing product safety evaluation center for instructions.

Issued: 21-Oct-2014

Attn: Ms. Dansy Xu

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Issued: 21-Oct-2014 GlobTek, Inc. Revised: None

### 10.1 Evaluation of Unlisted Components

Because Unlisted Components are uncontrolled, and they do not fall under a third party follow up program, Intertek may require these components to be tested and/or evaluated at least once annually, more often for certain components, as part of the independent certification process. The Unlisted Components in Section 5.0 require testing and/or evaluation as indicated.

Note to Intertek Follow Up Inspector: The Component Evaluation Center, CEC, will notify you in writing when these components must be selected and sent to the CEC for re-evaluation

> Ship the samples to: Intertek Testing Services Shanghai Limited **ETL Component Evaluation Center** Building No. 86, 1198 Qinzhou Road (North) Shanghai 200233, China

Sample Disposition: Due to the destructive nature of the testing, all samples will be discarded at the conclusion of testing unless, the manufacturer specifically requests the return of the samples. The request for return must accompany the initial component shipment.

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Issued: 21-Oct-2014 GlobTek, Inc. Revised: None

### 11.0 Manufacturing and Production Tests

The manufacturer agrees to conduct the following Manufacturing and Production Tests as specified:

### **Required Tests**

Dielectric Voltage Withstand Test

### 11.1 Dielectric Voltage Withstand Test

### Method

One hundred percent of production of the products covered by this Report shall be subjected to a routine production line dielectric withstand test.

The test shall be conducted on products, which are fully assembled. Prior to applying the test potential, all switches, contactors, relays, etc., should be closed so that all primary circuits are energized by the test potential. If all primary circuits cannot be tested at one time, then separate applications of the test potential shall be made.

The test voltage specified below shall be applied between primary circuits and accessible dead-metal parts. The test voltage may be gradually increased to the specified value but must be maintained at the specified value for one second or one minute as required.

### **Test Equipment**

The test equipment shall incorporate a transformer with an essentially sinusoidal output, a means to indicate the applied test potential, and an audible and/or visual indicator of dielectric breakdown.

The test equipment shall incorporate a voltmeter in the output circuit to indicate directly the applied test potential if the rated output of the test equipment is less than 500VA.

If the rated output of the test equipment is 500VA or more, the applied test potential may be indicated by either:

- 1 a voltmeter in the primary circuit;
- 2 a selector switch marked to indicate the test potential; or
- 3 a marking in a readily visible location to indicate the test potential for test equipment having a single test potential output.

In cases 2 and 3, the test equipment shall include a lamp or other visual means to indicate that the test potential is present at the test equipment output. All test equipment shall be maintained in current calibration.

| Products Requiring Dielectric Voltage Withstand Test: |                     |           |  |  |  |
|---|---------------------|-----------|--|--|--|
| <u>Product</u>  | <u>Test Voltage</u> | Test Time |  |  |  |
| Between L/N and secondary output                      | 3000Vac             | 1 s       |  |  |  |

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12.0 Revision Summary
The following changes are in compliance with the declaration of Section 8.1:

| 12.0 Revision Summary  |                  |         |      |  |
|--|------------------|---------|------|--|
| The following changes are in compliance with the declaration of Section 8.1: |                  |         |      |  |
| Date/<br>Proj # Site ID  | Project Handler/ | Section | Item | Description of Change  |
| 1 Toj # One ID   | 1100100001       |         |      | None   |
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