




Test Report issued under the responsibility of:



TEST REPORT IEC 60601-1-11 MEDICAL ELECTRICAL EQUIPMENT – Part 1-11: General requirements for basic safety and essential performance – Collateral Standard: Requirements for medical electrical equipment and medical electrical systems used in the home healthcare environment	
Report Number.	191201633SHA-002
Date of issue	2020-04-21
	Modification 1: 2020-08-24
Total number of pages	33
Name of Testing Laboratory preparing the Report	Intertek Testing Services Shanghai
Applicant's name	GlobTek, Inc.
Address	186 Veterans Dr. Northvale, NJ 07647 USA
Test specification:	
Standard	IEC 60601-1-11:2015 (Second Edition) for use in conjunction with IEC 60601-1:2012 (Third Edition) + A1:2012
Test procedure	CB Scheme
Non-standard test method	N/A
Test Report Form No.	IEC60601_1_11C
Test Report Form(s) Originator	UL(US)
Master TRF	2015-03
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Test item description..... :	Medical Power Supply	
Trade Mark..... :	 GlobTek, Inc.	
Manufacturer	Same as applicant	
Model/Type reference	GT*96300-*****	
Ratings	Input: 100-240V~, 50-60Hz, 1.0A Output: 5-56VDC, Max 36W	
Responsible Testing Laboratory (as applicable), testing procedure and testing location(s):		
<input checked="" type="checkbox"/>	CB Testing Laboratory:	Intertek Testing Services Shanghai
Testing location/ address..... :		Building No.85 and 86, 1198 Qinzhou Road (North), 200233 Shanghai, China
<input type="checkbox"/>	Associated CB Testing Laboratory:	
Testing location/ address..... :		
Tested by (name, function, signature)..... :		Yann Yan (Engineer)
Approved by (name, function, signature).... :		Jack Cheng (Mandated Reviewer)
Testing procedure: CTF Stage 1:		
Testing location/ address..... :		
Tested by (name, function, signature)..... :		
Approved by (name, function, signature).... :		
Testing procedure: CTF Stage 2:		
Testing location/ address..... :		
Tested by (name + signature)		
Witnessed by (name, function, signature) . :		
Approved by (name, function, signature).... :		
Testing procedure: CTF Stage 3		
Testing procedure: CTF Stage 4		
Testing location/ address..... :		
Tested by (name, function, signature)..... :		
Witnessed by (name, function, signature) . :		
Approved by (name, function, signature).... :		
Supervised by (name, function, signature) :		

List of Attachments (including a total number of pages in each attachment): See IEC60601-1 report No. 191201633SHA-001, 191201633SHA-001 M1.	
Summary of testing:	
Tests performed (name of test and test clause): N/A	Testing location: N/A
Summary of compliance with National Differences: List of countries addressed The requirements of USA and Canada have been also checked and found to include no national differences or deviations from the IEC 60601-1-11:2015 <input checked="" type="checkbox"/> The product fulfils the requirements of IEC 60601-1-11: 2015	
Copy of marking plate: The artwork below may be only a draft. The use of certification marks on a product must be authorized by the respective NCBs that own these marks. See IEC60601-1 report 191201633SHA-001, 191201633SHA-001 M1.	

Test item particulars: See IEC60601-1 report 191201633SHA-001, 191201633SHA-001 M1.	
Classification of installation and use portable for power adapter model.	
Intended use (Including type of patient, application location)	PSU (external power adapter or internal power supply board))
Mode of operation	Continuous
Supply Connection	appliance coupler for power adapter model.
Accessories and detachable parts included: None	
Possible test case verdicts:	
- test case does not apply to the test object.....: N/A	
- test object does meet the requirement.....: P (Pass)	
- test object does not meet the requirement.....: F (Fail)	
Testing:	
Date of receipt of test item: No test required	
Date (s) of performance of tests: No test required	
- Normal condition.....: N.C.	- Single fault condition: S.F.C.
- Means of Operator protection: MOOP	- Means of Patient protection: MOPP
General remarks:	
<p>"(See Enclosure #)" refers to additional information appended to the report.</p> <p>"(See appended table)" refers to a table appended to the report.</p> <p>This report shall not be reproduced except in full without the written approval of the testing laboratory. List of test equipment must be kept on file and available for review. Additional test data and/or information provided in the attachments to this report.</p> <p>Throughout this report a <input type="checkbox"/> comma / <input checked="" type="checkbox"/> point is used as the decimal separator.</p> <p>This Test Report Form is intended for the evaluation of medical electrical equipment and medical electrical systems used in the home healthcare environment in accordance with IEC 60601-1-11.</p> <p>This Test Report Form can be used to complement the IEC 60601-1 Test Report.</p> <p>This report is for the exclusive use of Intertek's Client and is provided pursuant to the agreement between Intertek and its Client. Intertek's responsibility and liability are limited to the terms and conditions of the agreement. Intertek assumes no liability to any party, other than to the Client in accordance with the agreement, for any loss, expense or damage occasioned by the use of this report. Only the Client is authorized to permit copying or distribution of this report and then only in its entirety. Use of Intertek's Certification mark is restricted to the conditions laid out in the agreement. Any further use of the Intertek name for the sale or advertisement of the tested material, product or service must first be approved in writing by Intertek. Initial Factory Assessments and Follow up Services are for the purpose of assuring appropriate usage of the Certification mark in accordance with the agreement, they are not for the purposes of production quality control and do not relieve the Client of their obligations in this respect.</p> <p>Note: This report is not valid unless used in conjunction with the original report.</p>	
Manufacturer's Declaration per sub-clause 4.2.5 of IEC60601-1:	
The application for obtaining a CB Test Certificate includes more than one factory location and a declaration from the Manufacturer stating that the sample(s) submitted for evaluation is (are) representative of the products from each factory has been provided	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> Not applicable

When differences exist; they shall be identified in the General product information section.

Name and address of factory (ies) : See IEC60601-1 report 191201633SHA-001,
191201633SHA-001 M1.

General product information:

See IEC60601-1 report 191201633SHA-001, 191201633SHA-001 M1.

Modification 1:

The original test report ref. No. 191201633SHA-002 dated 2020-04-21, was modified on 2020-08-24 to include the following changes and/or additions:

1. Only added construction diagram of optional output connector in ATTACHMENT as required by applicant.

Concerning above changes, no test is required.

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Clause	Requirement + Test	Result - Remark	Verdict

4	GENERAL REQUIREMENTS		
4.1	Characteristics of SUPPLY MAINS specified in 4.10.2 of Part 1 applied, except ME EQUIPMENT or ME SYSTEMS intended for HOME HEALTHCARE ENVIRONMENT complied with the following:		P
	– SUPPLY MAINS in the HOME HEALTHCARE ENVIRONMENT did not exceed 110 % or was not below 85 % of NOMINAL voltage between any of the conductors of the system or between any of these conductors and earth (% V)	See appended Table 4.11 in IEC60601-1 report 191201633SHA-001.	—
	– For ME EQUIPMENT OR ME SYSTEMS intended to actively keep alive or resuscitate a PATIENT, SUPPLY MAINS in the HOME HEALTHCARE ENVIRONMENT did not exceed 110 % or was not below 80 % of NOMINAL voltage between any of the conductors of the system or between any of these conductors and earth (% V)	Not for LIFE-SUPPORTING ME EQUIPMENT	—
	- RATED range of NOMINAL voltage did include at least 12.4 V to 15.1 V for operation from a 12 V dc supply mains	No such condition	N/A
	- RATED range of NOMINAL voltage did include at least 24.8 V to 30.3 V for operation from a 12 V dc supply mains	No such condition	N/A
	The equipment maintained BASIC SAFETY and ESSENTIAL PERFORMANCE during and following a 30 s dip to 10 V from a 12 V dc SUPPLY MAINS	No such condition	N/A
	The equipment maintained BASIC SAFETY and ESSENTIAL PERFORMANCE during and following a 30 s dip to 20 V from a 24 V dc SUPPLY MAINS	No such condition	N/A
4.2.2	Environmental conditions of transport and storage between uses, indicated in instructions for use		
	ME EQUIPMENT, except STATIONARY EQUIPMENT, after being removed from its protective packaging, and subsequently between uses, operated within its specified NORMAL USE after transport or storage in the specified environmental conditions		P
	temperature range:-25 °C to + 5 °C		P
	temperature range:+5 °C to +35 °C at a non-condensing relative humidity up to 90 %		P
	temperature range: >35 °C to 70 °C at a water vapour pressure up to 50 hPa		P

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Clause	Requirement + Test	Result - Remark	Verdict
	For more restricted range of environmental transport and storage conditions between uses, the environmental conditions are specified		N/A
	– Justified in the RISK MANAGEMENT FILE	See RISK MANAGEMENT Table 4.2.2	N/A
	– Marked on the ME EQUIPMENT		N/A
	When not practicable, the more restricted range is disclosed in the instructions for use		N/A
	– Marked on the carrying case when the instructions for use indicate the ME EQUIPMENT is intended to be transported or stored in a carrying case between uses		N/A
	Symbol 5.3.5 (ISO 7000-0534), 5.3.6 (ISO 7000-0533), or 5.3.7 (ISO 7000-0632) of ISO 15223-1:2012 used to mark temperature range		N/A
	Symbol 5.3.8 (ISO 7000-2620) of ISO 15223-1:2012 used to mark humidity range		N/A
	Symbol 5.3.9 (ISO 7000-2621) of ISO 15223-1:2012 used to mark atmospheric pressure range		N/A
	Where ME EQUIPMENT used different marking for conditions of transport and storage between uses, continuous operating conditions and transient operating conditions, markings accompanied by supplementary markings except where the respective applicability was obvious		N/A
	Environmental transport and storage test		
	a) ME EQUIPMENT prepared for transportation or storage according to instructions for use		P
	b) ME EQUIPMENT exposed to its lowest specified environmental transport and storage conditions (temperature -4°C ($^{\circ}\text{C}$)):	-10°C	P
	– For at least 16 h or, ensure ME EQUIPMENT reached THERMAL STABILITY for at least 2 h		P
	c) Then ME EQUIPMENT exposed to $34^{\circ}\text{C} \pm 4^{\circ}\text{C}$ and 90 % - 0% + 6% relative humidity until the test chamber reached equilibrium and held for at least 2 hours. The transition from low to high temperature was made slowly enough to provide a non-condensing environment.		P

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Clause	Requirement + Test	Result - Remark	Verdict
	d) ME EQUIPMENT exposed to its highest specified environmental transport and storage conditions, not requiring a water vapour pressure greater than 50 hPa (temperature $+4^{\circ}\text{C}$); ($^{\circ}\text{C}$, \pm %)	+80°C, 93 RH %	P
	– For at least 16 h or, ensured ME EQUIPMENT reached THERMAL STABILITY for at least 2 h		P
	e) At the end of this conditioning period, ME EQUIPMENT allowed to return and stabilize at the operating conditions of NORMAL USE		P
	f) ME EQUIPMENT evaluated to its specifications and ensured it provides BASIC SAFETY and ESSENTIAL PERFORMANCE		P
4.2.3.1	Environmental operating conditions - Continuous operating conditions		
	Instructions for use indicated permissible environmental operating conditions of the ME EQUIPMENT		P
	ME EQUIPMENT complied with its specifications and all requirements of the standard when operated in NORMAL USE within temperature $+5^{\circ}\text{C}$ to $+40^{\circ}\text{C}$,	0°C to 40°C	P
	Relative humidity range of 15 % to 90%, non-condensing, but not requiring a water vapour partial pressure greater than 50 hPa; and	15% to 93% RH	P
	An atmospheric pressure range of 700 hPa to 1060 hPa	700 hPa to 1060 hPa	P
	For more restricted range of environmental operating conditions		P
	- justified in the risk management file;		N/A
	-marked on the equipment; or were not practical in the instructions for use.....		N/A
	– Marked on the carrying case when the instructions for use indicate the ME EQUIPMENT is intended to be operated in a carrying case		N/A
	Symbol 5.3.5 (ISO 7000-0534), 5.3.6 (ISO 7000-0533), or 5.3.7 (ISO 7000-0632) of ISO 15223-1:2012 used to mark temperature range		N/A
	Symbol 5.3.8 (ISO 7000-2620) of ISO 15223-1:2012 used to mark humidity range		N/A
	Symbol 5.3.9 (ISO 7000-2621) of ISO 15223-1:2012 used to mark atmospheric pressure range		N/A

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Clause	Requirement + Test	Result - Remark	Verdict

	Where ME EQUIPMENT used different marking for conditions of continuous operating conditions and transient operating conditions, markings accompanied by supplementary markings		N/A
	Environmental operating conditions test		
	a) ME EQUIPMENT was set up for operation according to INTENDED USE		P
	b) ME EQUIPMENT exposed to 20 °C ± 4 °C for at least 6 h or, ensured ME EQUIPMENT reached THERMAL STABILITY for at least 2 h, (h):	6h	P
	c) ME EQUIPMENT evaluated to its specifications and ensured it continued to provide BASIC SAFETY and ESSENTIAL PERFORMANCE	After test, EUT worked as normal and no basic safety specified in the standard was impaired.	P
	d) ME EQUIPMENT evaluated to its specifications and ensured it continued to provide BASIC SAFETY and ESSENTIAL PERFORMANCE while at the lowest specified atmospheric pressure.	After test, EUT worked as normal and no basic safety specified in the standard was impaired.	P
	e) ME EQUIPMENT evaluated to its specifications and ensured it continued to provide BASIC SAFETY and ESSENTIAL PERFORMANCE while at the highest specified atmospheric pressure.	After test, EUT worked as normal and no basic safety specified in the standard was impaired.	P
	f) Pressure in chamber relieved		P
	g) ME EQUIPMENT cooled to its lowest specified environmental operating conditions		P
	h) ME EQUIPMENT held at lowest specified environmental operating conditions for at least 6 h or, ensured the ME EQUIPMENT reached THERMAL STABILITY for at least 2 h:	6h	P
	i) ME EQUIPMENT met its specifications and BASIC SAFETY and ESSENTIAL PERFORMANCE	After test, EUT worked as normal and no basic safety specified in the standard was impaired.	P
	j) ME EQUIPMENT warmed to its highest specified continuous environmental operating conditions		P
	k) ME EQUIPMENT held the conditions of j) for at least 6 h or, ensured the ME EQUIPMENT reached THERMAL STABILITY for at least 2 h:	6h	P
	l) ME EQUIPMENT met its specifications and BASIC SAFETY and ESSENTIAL PERFORMANCE	After test, EUT worked as normal and no basic safety specified in the standard was impaired.	P

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Clause	Requirement + Test	Result - Remark	Verdict

4.2.3.2	Environmental shock to TRANSIT-OPERABLE EQUIPMENT		
	TRANSIT-OPERABLE EQUIPMENT with a stated wider range of continuous environmental operation conditions maintained BASIC SAFETY and ESSENTIAL PERFORMANCE in the presence of condensation and thermal shock from rapid changes in environmental temperature and humidity during INTENDED USE when test in accordance with 4.2.3.2 a)-j).	Not TRANSIT-OPERABLE ME EQUIPMENT.	N/A

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Clause	Requirement + Test	Result - Remark	Verdict

5	GENERAL REQUIREMENTS FOR TESTING ME EQUIPMENT		
	In addition to the requirements of 5.9.2.1 of with IEC 60601-1 standard, accessibility determined as indicated below:		
	ACCESSIBLE parts of ME EQUIPMENT identified by inspection and, when necessary, by testing		P
	When in doubt, an ACCESSIBLE PART of ME EQUIPMENT determined by a test with the small finger probe of Fig 1, applied in a bent or straight position as follows:		P
	– for all positions of the ME EQUIPMENT operating in NORMAL USE	No opening	N/A
	– after opening ACCESS COVERS and removal of parts, including lamps, fuses, and fuse holders when:	No such parts	N/A
	i) the ACCESS COVERS could be opened without the use of a TOOL, or		N/A
	ii) the instructions for use instructed a LAY OPERATOR to open the relevant ACCESS COVER		N/A

6	CLASSIFICATION OF ME EQUIPMENT AND ME SYSTEMS		
	ME EQUIPMENT intended for HOME HEALTHCARE ENVIRONMENT classified as follows, except for PERMANENTLY INSTALLED EQUIPMENT and as required by Part 1, Sub-clause 6.2:	Only Class II adapter models are evaluated in this report.	P
	– CLASS II or INTERNALLY POWERED	Class II	P
	– Not provided with a FUNCTIONAL EARTH TERMINAL		P
	– When equipped with APPLIED PARTS, they are TYPE BF or CF	No applied parts.	N/A

7	ME EQUIPMENT IDENTIFICATION, MARKING AND DOCUMENTS		
7.1	USABILITY of identification, marking, and ACCOMPANYING DOCUMENTS intended for LAY OPERATOR or LAY RESPONSIBLE ORGANIZATION evaluated by an OPERATOR whose PROFILE included minimum eight years of education	USABILITY ENGINEERING PROCESS not applied for power supply	N/A
	ME EQUIPMENT and ME SYSTEMS intended for HOME HEALTHCARE ENVIRONMENT are simple to use and do not require referencing complex ACCOMPANYING DOCUMENTS		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
7.2	In addition to requirements of 7.2.9 of the general standard, the carrying case provided some or all of the ingress protection against water or particulate matter, The ENCLOSURE is marked with the safety sign ISO 7010-W001 and "keep dry" or :		N/A
	Symbol ISO 15223-1:2012, 5.3.4 (ISO 7000-0626)		N/A
	A carrying case marked with degree of protection	IP20	P
	Carrying case inspected, and tests and criteria of 7.1.2 and 7.1.3 of Part 1 applied :	See IEC60601-1 report 191201633SHA-001, Sub-clauses 7.1.2 and 7.1.3	P
7.3	ACCOMPANYING DOCUMENTS		
7.3.1	ACCOMPANYING DOCUMENTS indicate the LAY OPERATOR or LAY RESPONSIBLE ORGANIZATION should contact the MANUFACTURER or MANUFACTURER'S representative on the following issues:	Accompany documents are provided for some critical issue like technical data, safety warnings, necessary information to set up, but further evaluation is needed on end product level.	P
	– Assistance in setting up, using, or maintaining the ME EQUIPMENT or ME SYSTEM when needed, or		P
	– To report unexpected operation or events		P
	ACCOMPANYING DOCUMENTS include a postal address and either a phone number or web address for the LAY OPERATOR or LAY RESPONSIBLE ORGANIZATION to contact the MANUFACTURER or MANUFACTURER'S representative		P
7.3.2	ACCOMPANYING DOCUMENTS include necessary details for healthcare professional to brief the LAY OPERATOR or LAY RESPONSIBLE ORGANIZATION on any known contraindication(s) to the use of ME EQUIPMENT or ME SYSTEM and any precautions to be taken, including the following:	Accompany documents are provided for some critical issue like technical data, safety warnings, necessary information to set up, but further evaluation is needed on end product level.	N/A
	– Precautions to be taken in the event of changes in the performance of ME EQUIPMENT or ME SYSTEM		N/A
	– Precautions to be taken regarding the exposure of the ME EQUIPMENT or ME SYSTEM to reasonably foreseeable environmental conditions		N/A
	– Adequate information regarding medicinal substances that ME EQUIPMENT is designed to administer, including any limitations in the choice of substances to be delivered as indicated below:		N/A

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	– Information on any medicinal substances or human blood derivatives incorporated into the ME EQUIPMENT or ACCESSORIES as an integral part; and		N/A
	– The degree of accuracy claimed for ME EQUIPMENT with a measuring FUNCTION		N/A
7.4	Instructions for use		
7.4.1	Nature of the HAZARD, likely consequences that could occur if the advice is not followed, and precautions for reducing the RISK described in instructions for use corresponding to each warning and safety sign	See RISK MANAGEMENT Table 7.4.1	P
	The instructions for use address the following issues, as applicable:		
	– Strangulation due to cables and hoses, particularly due to excessive length		P
	– Inhalation or swallowing of small parts		P
	– Potential allergic reactions to accessible materials used in the ME EQUIPMENT		P
	– Contact injuries		
	The instructions for use include warnings to the effect that the following actions could be unsafe as applicable:		P
	– Use of ACCESSORIES, detachable parts, and materials not described in the instructions for use (see 7.9.2.14 of Part 1)		P
	– Interconnection of this equipment to other equipment not described in the instructions for use (see 16.2 c) indent 9) of Part 1)		P
	– Modification of the equipment		P
	– Use of the ME EQUIPMENT outside its carrying case when some part of the protection required by this standard is provided by that carrying case (see 8.3.1 and 10.1)		P
7.4.2	When BASIC SAFETY or ESSENTIAL PERFORMANCE depends on the INTERNAL ELECTRICAL POWER SOURCE, the instructions for use describes the following:	No INTERNAL ELECTRICAL POWER SOURCE.	N/A
	– Typical operation time or number of procedures ... :		N/A
	– Typical service life of the INTERNAL ELECTRICAL POWER SOURCE; and		N/A

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	– Behaviour of ME EQUIPMENT while the rechargeable INTERNAL ELECTRICAL POWER SOURCE is charging		N/A
7.4.3	Instructions for use for ME EQUIPMENT intended for use by a LAY OPERATOR include easily understood diagrams, illustrations, or photographs of the fully assembled and ready-to-operate ME EQUIPMENT including all controls, visual INFORMATION SIGNALS, and indicators provided (see 7.1)	Necessary information to set up was provided in the instruction. BUT USABILITY ENGINEERING PROCESS not applied for power supply.	P
7.4.4	Additional requirements for ME EQUIPMENT start-up PROCEDURE:		
	– Easily understood diagrams, illustrations, or photographs showing proper connection of the PATIENT to the ME EQUIPMENT, ACCESSORIES and other equipment (see 7.1)	No connection to PATIENT.	N/A
	– the time from switching “ON” until the ME EQUIPMENT is ready for NORMAL USE, when it exceeds 15 s (see 15.4.4 of Part 1) (s)	No such feature.	N/A
	-the time required for ME EQUIPMENT to warm from the minimum storage temperature between uses until it is ready for intended use; and	No such feature.	N/A
	-the time required for ME EQUIPMENT to cool from the maximum storage temperature between uses until it is ready for intended use; and	No such feature.	N/A
7.4.5	Instructions for use for ME EQUIPMENT intended for use by a LAY OPERATOR include a description of generally known conditions in the HOME HEALTHCARE ENVIRONMENT that can unacceptably affect the BASIC SAFETY and ESSENTIAL PERFORMANCE of the ME EQUIPMENT	Further evaluation is needed on end product level.	N/A
	The steps that can be taken by the LAY OPERATOR to identify and resolve the above conditions		N/A
	At least the following issues are also included as applicable		
	- The effects of lint, dust, light (including sunlight), etc.		N/A
	- A list of known devices or other sources that can potentially cause interference problems		N/A
	- The effects of degraded sensors and electrodes, or loosened electrodes, that can degrade performance or cause other problems		N/A
	- The effects caused by pets, pests or children		N/A

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	The instructions for use explain the meaning of the IP classification marked on the ME EQUIPMENT, and on any carrying case provided with the ME EQUIPMENT as applicable		N/A
7.4.6	Instructions for use include a troubleshooting guide for use when there are indications of a ME EQUIPMENT malfunction during start-up or operation	No need of such guide for power supply. But final determination in the end product.	N/A
	Troubleshooting guide discloses the necessary steps in the event of an TECHNICAL ALARM CONDITION		N/A
7.4.7	Instructions for use for ME EQUIPMENT, ME SYSTEMS, parts, and ACCESSORIES for other than single use that can be contaminated by contact with PATIENT, body fluids, or expired gases, during INTENDED USE, indicate the following:	No cleaning, disinfection and sterilization required for power supply. But further evaluation is needed on end product level.	N/A
	– Frequency of cleaning, cleaning and disinfection, or cleaning and sterilization, as appropriate, for ME EQUIPMENT, ME SYSTEMS, parts, and ACCESSORIES used on the same PATIENT including rinsing methods, drying, handling, and storage between uses (see 8.1 and 8.2); and		N/A
	– It is necessary to clean and disinfect, clean and sterilize the ME EQUIPMENT, ME SYSTEMS, parts, and ACCESSORIES for multiple PATIENT use between uses on different PATIENTS, including rinsing methods, drying, handling, and storage until re-use (see 8.1 and 8.2), or		N/A
	– ME EQUIPMENT, ME SYSTEMS and ACCESSORIES require professional hygienic maintenance prior to re-use and provide contact details for the source of these services (see 7.5.2)		N/A
7.4.8	Instructions for use include:		
	– EXPECTED SERVICE LIFE of the ME EQUIPMENT :		N/A
	– EXPECTED SERVICE LIFE of parts and ACCESSORIES shipped with the ME EQUIPMENT :		N/A
	– SHELF LIFE of parts and ACCESSORIES shipped with ME EQUIPMENT when SHELF LIFE is less than the EXPECTED SERVICE LIFE :		N/A
7.4.9	Instructions for use include:		

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Clause	Requirement + Test	Result - Remark	Verdict

	– A statement indicating the LAY RESPONSIBLE ORGANIZATION must contact its local authorities to determine the proper method of disposal of potentially bio hazardous parts and ACCESSORIES, as applicable	Not applicable for power supply.	N/A
7.4.10	Instructions for use includes the recommended placement of the remote parts of the DISTRIBUTED ALARM SYSTEM, when applicable, to ensure the OPERATOR can be notified at all times by an appropriate element of DISTRIBUTED ALARM SYSTEM within its specified range		N/A
7.5	Technical description		
7.5.1	The technical description for PERMANENTLY INSTALLED CLASS I ME EQUIPMENT includes:	Not PERMANENTLY INSTALLED CLASS I ME EQUIPMENT.	N/A
	– A warning indicating the ME EQUIPMENT installation, including a correct PROTECTIVE EARTH CONNECTION, must only be carried out by qualified SERVICE PERSONNEL		N/A
	– Specifications of the PERMANENTLY INSTALLED PROTECTIVE EARTH CONDUCTOR		N/A
	– A warning to verify the integrity of the external protective earthing system		N/A
	– A warning to connect and verify that the PROTECTIVE EARTH TERMINAL of the PERMANENTLY INSTALLED ME EQUIPMENT is connected to the external protective earthing system		N/A
7.5.2	Technical description includes methods for cleaning and disinfection or cleaning and sterilization for ME EQUIPMENT and ACCESSORIES requiring professional hygienic maintenance prior to reuse (see 7.4.7):	No cleaning, disinfection and sterilization required for power supply. But final determination in the end product.	N/A
	– Before and after any type of service PROCEDURE		N/A
	– When the ME EQUIPMENT is transferred to another PATIENT		N/A

8	PROTECTION AGAINST EXCESSIVE TEMPERATURES AND OTHER HAZARDS		
8.1	A LAY OPERATOR in the HOME HEALTHCARE ENVIRONMENT can perform the cleaning or cleaning and disinfection PROCESSES when intended (see 7.4.7)	No cleaning, disinfection required for power supply. But final determination in the end product.	N/A

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Clause	Requirement + Test	Result - Remark	Verdict
	USABILITY of each such PROCESS pertaining to a LAY OPERATOR was investigated by the USABILITY ENGINEERING PROCESS		N/A
8.2	A LAY OPERATOR in the HOME HEALTHCARE ENVIRONMENT can perform the cleaning and sterilization PROCESSES when intended (see 7.4.7)	No sterilization required for power supply. But final determination in the end product.	N/A
	USABILITY of each such PROCESS pertaining to a LAY OPERATOR was investigated by the USABILITY ENGINEERING PROCESS		N/A
8.3	Additional requirements for ingress of water or particulate matter into ME EQUIPMENT and ME SYSTEMS		
8.3.1	TRANSIT-OPERABLE, HANDHELD, and BODY-WORN ME EQUIPMENT maintained BASIC SAFETY and ESSENTIAL PERFORMANCE after undergoing the test of IEC 60529 for at least IP 22		N/A
	All other ME EQUIPMENT maintained BASIC SAFETY and ESSENTIAL PERFORMANCE after undergoing the test of IEC 60529 for at least IP21		N/A
	For PORTABLE ME EQUIPMENT intended to be used only while in a carrying case, IP21 met with the ME EQUIPMENT in its the carrying case		N/A
	Maintenance of BASIC SAFETY and ESSENTIAL PERFORMANCE VERIFIED		N/A
8.3.2	ENCLOSURES of the non-ME EQUIPMENT parts of the ME SYSTEMS provide the degree of protection against harmful ingress of water or particulate matter equivalent to equipment complying with their respective IEC or ISO safety standards		N/A
	Tests of IEC 60529:1989 conducted with the equipment placed in the least favourable position of NORMAL USE and the ENCLOSURES inspected		N/A
8.4	Additional requirements for interruption of the power supply/SUPPLY MAINS to ME EQUIPMENT and ME SYSTEM		
	ME EQUIPMENT or ME SYSTEM with ESSENTIAL PERFORMANCE intended to actively keep alive or resuscitate a PATIENT maintained its ESSENTIAL PERFORMANCE for a sufficient time or for a sufficient number of PROCEDURES when loss or failure of SUPPLY MAINS or near depletion INTERNAL ELECTRICAL POWER SOURCE occurred	Not such ME EQUIPMENT.	N/A

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Clause	Requirement + Test	Result - Remark	Verdict

	The time or number of PROCEDURES remaining allowed alternative life-supporting methods to be employed		N/A
	Optionally, an INTERNAL ELECTRICAL POWER SOURCE was used to maintain ESSENTIAL PERFORMANCE		N/A
	Optionally, independent means were used to provide ESSENTIAL PERFORMANCE		N/A
	Instructions for use disclose the time or number of procedures available following a loss or failure of the SUPPLY MAINS or near depletion of the INTERNAL ELECTRICAL POWER SOURCE		N/A
	Instructions for use describes the alternative life-supporting methods to be employed		N/A
	The technical description describes methods that can be employed for longer periods		N/A
	ME EQUIPMENT or ME SYSTEM with ESSENTIAL PERFORMANCE intended to actively keep alive or resuscitate a PATIENT with no INTERNAL ELECTRICAL POWER SOURCE is equipped with an ALARM SYSTEM that includes at least a MEDIUM PRIORITY ALARM CONDITION indicating power failure		N/A
	ME EQUIPMENT or ME SYSTEM with ESSENTIAL PERFORMANCE intended to actively keep alive or resuscitate a PATIENT with an INTERNAL ELECTRICAL POWER SOURCE is equipped with an automatic switchover to INTERNAL ELECTRICAL POWER SOURCE		N/A
	ME EQUIPMENT or ME SYSTEM with ESSENTIAL PERFORMANCE intended to actively keep alive or resuscitate a PATIENT with an INTERNAL ELECTRICAL POWER SOURCE is equipped with an ALARM SYSTEM that includes at least a MEDIUM PRIORITY TECHNICAL ALARM CONDITION indicating the INTERNAL ELECTRICAL POWER SOURCE is nearing insufficient remaining power for operation		N/A
	TECHNICAL ALARM CONDITION provides sufficient time or sufficient number of procedures for a LAY OPERATOR to act		N/A
	A TECHNICAL ALARM CONDITION of at least LOW PRIORITY remained active until the INTERNAL ELECTRICAL POWER SOURCE returned to a level above the ALARM LIMIT or until depleted		N/A
	It was not possible to inactivate the visual ALARM SIGNAL of this TECHNICAL ALARM CONDITION		N/A

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Clause	Requirement + Test	Result - Remark	Verdict

	Functional tests conducted, and the RISK MANAGEMENT FILE inspected		N/A
8.5	Additional requirements for an INTERNAL ELECTRICAL POWER SOURCE		
8.5.1	ME EQUIPMENT provided with a means for the OPERATOR to determine state of the INTERNAL ELECTRICAL POWER SOURCE when the is essential for BASIC SAFETY or ESSENTIAL PERFORMANCE or to control risks associated with loss of ESSENTIAL PERFORMANCE		P
	State of INTERNAL ELECTRICAL POWER SOURCE indicated by:		P
	- number of PROCEDURES remaining;		N/A
	-remaining operating time;		N/A
	-percentage of the remaining operating time or energy; or		N/A
	-"fuel" gauge		N/A
	Instructions described method to determine state of INTERNAL ELECTRICAL POWER SOURCE		P
8.5.2	Means, other than labelling, provided to prevent RISK of swallowing coin/button cells		N/A
	Replacement of button cell require use of TOOL		N/A

9	ACCURACY OF CONTROLS AND INSTRUMENTS AND PROTECTION AGAINST HAZARDOUS OUTPUTS		
	The RISKS associated with USABILITY in the HOME HEALTHCARE ENVIRONMENT for OPERATOR PROFILES including a LAY OPERATOR when performing the USABILITY ENGINEERING PROCESS include at least the following considerations:		N/A
	– changes of controls		N/A
	– unexpected movement		N/A
	– potential for misconnection		N/A
	– potential for improper operation, or unsafe use		N/A
	– potential for confusion as to current operational mode		N/A
	– change in the transfer of energy or substance		N/A
	- exposure to environmental conditions specified in this standard		N/A
	– exposure to biological materials, and		N/A
	– small parts being inhaled or swallowed		N/A

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Clause	Requirement + Test	Result - Remark	Verdict

	Particular emphasis placed on the limited training of a LAY OPERATOR with respect to the ability to intervene and maintain BASIC SAFETY and ESSENTIAL PERFORMANCE.		N/A
	The MANUFACTURER'S USABILITY ENGINEERING PROCESS included the least capable intended LAY OPERATOR OF LAY RESPONSIBLE ORGANIZATION		N/A
	USABILITY ENGINEERING FILE inspected for compliance	USABILITY ENGINEERING PROCESS not applied for power supply	N/A

10	CONSTRUCTION OF ME EQUIPMENT		
10.1	Additional requirements for mechanical strength		
10.1.1	Additions to Table 28 Mechanical strength test of the base standard, conducted as indicated in Table 1, Mechanical strength test applicability, non-TRANSIT-OPERABLE, and Table 2, Mechanical strength test applicability, TRANSIT-OPERABLE		P
10.1.2	ME EQUIPMENT, its parts, and mounting ACCESSORIES, intended for non-TRANSIT-OPERABLE use displayed adequate mechanical strength when subjected to mechanical stress caused by NORMAL USE, including pushing, impact, dropping and rough handling (not applicable to FIXED and STATIONARY ME EQUIPMENT)	non-TRANSIT-OPERABLE and PORTABLE	P
	ME EQUIPMENT maintained BASIC SAFETY and ESSENTIAL PERFORMANCE after mechanical tests		
	OPERATOR-re-settable protective devices that can be reset without the use of a TOOL were, optionally, reset prior to the evaluation of BASIC SAFETY and ESSENTIAL PERFORMANCE		N/A
	a) Shock tests conducted in accordance with IEC 60068-2-27:2008	See Appended Table 10.1.2a	P
	b) Broad-band random vibration tests conducted in accordance with IEC 60068-2-64:2008, using the following conditions	See Appended Table 10.1.2b	P
10.1.3	ME EQUIPMENT, parts, and mounting ACCESSORIES for TRANSIT-OPERABLE use displayed adequate mechanical strength when subjected to pushing, impact, dropping, rough handling, and rigorous conditions of PATIENT movement in NORMAL USE as well as transportation by trolleys, carts, road vehicles, trains, ships, and aircraft	Not TRANSIT-OPERABLE ME EQUIPMENT	N/A

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Clause	Requirement + Test	Result - Remark	Verdict

	ME EQUIPMENT maintained BASIC SAFETY and ESSENTIAL PERFORMANCE after the following tests:		N/A
	a) Shock tests conducted on other than HAND-HELD ME EQUIPMENT, parts, and mounting ACCESSORIES in accordance with IEC 60068-2-27:2008		N/A
	1) Test type: Type 1..... :	See Appended Table 10.1.3a1	N/A
	2) Test type: Type 2..... :	See Appended Table 10.1.3a2	N/A
	b) Shock tests conducted on HAND-HELD ME EQUIPMENT, parts, and mounting ACCESSORIES in accordance with IEC 60068-2-27:2008		N/A
	1) Test type: Type 1..... :	See Appended Table 10.1.3b1	N/A
	2) Test type: Type 2..... :	See Appended Table 10.1.3b2	N/A
	c) Broad-band random vibration test conducted on ME EQUIPMENT, parts, and mounting ACCESSORIES in accordance with IEC 60068-2-64:2008 :	See Appended Table 10.1.3c	N/A
	d) Free fall tests conducted on PORTABLE and MOBILE ME EQUIPMENT, parts, and mounting ACCESSORIES per IEC 60068-2-31:2008, using PROCEDURE 1 :	See Appended Table 10.1.3d	N/A
	BASIC SAFETY and ESSENTIAL PERFORMANCE were maintained	After test, EUT worked as normal and no basic safety specified in the standard was impaired.	N/A
10.2	Controls of ME EQUIPMENT intended for use by a LAY OPERATORY that can affect BASIC SAFETY or ESSENTIAL PERFORMANCE protected from accidental or unauthorized changes or adjustments		N/A
	OPERATOR-adjustable controls used for calibration include a means to prevent unintentional changes from the intended position		N/A

11	PROTECTION AGAINST STRANGULATION OR ASPHYXIATION		
	Means provided to control the RISK of strangulation and asphyxiation of the PATIENT and others to an acceptable level		N/E
	EQUIPMENT and RISK MANAGEMENT FILE inspected :	See RISK MANAGEMENT Table 11	N/E

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Clause	Requirement + Test	Result - Remark	Verdict

12	ADDITIONAL REQUIREMENTS FOR ELECTROMAGNETIC EMISSIONS OF ME EQUIPMENT AND ME SYSTEMS		
	ME EQUIPMENT and ME SYSTEMS intended for HOME HEALTHCARE ENVIRONMENT are Class B according to CISPR 11:2009..... :	See attached IEC 60601-1-2:2014 EMC Test Report	N/E

13	ADDITIONAL REQUIREMENTS FOR ALARM SYSTEMS OF ME EQUIPMENT AND ME SYSTEMS		
13.1	Each HIGH PRIORITY and MEDIUM PRIORITY ALARM CONDITION causes generation of auditory ALARM SIGNALS per IEC 60601-1-8:2006 and IEC 60601-1-8:2006/AMD1:2012, except when equipment is connected to a DISTRIBUTED ALARM SYSTEM intended for confirmed deliver of ALARM CONDITIONS including the generation of auditory ALARM SIGNALS per IEC 60601-1-8:2006 and IEC 60601-1-8:2006/AMD1:2012	Not applicable to component power supply system; to be determined in the end product	N/E
13.2	For ME EQUIPMENT and ME SYSTEMS intended to actively keep alive or resuscitate a PATIENT, reducing the auditory ALARM SIGNAL volume T below audible levels resulted in the following was not possible, except when the ALARM SYSTEM was connected to a DISTRIBUTED ALARM SYSTEM that included generation of auditory ALARM SIGNALS per IEC 60601-1-8:2006 and IEC 60601-1-8:2006/AMD1:2012		N/E

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Clause	Requirement + Test	Result - Remark	Verdict

4.2.2	RM RESULTS TABLE: Permissible environmental conditions of transport and storage, between uses, indicated in instructions for use		N/A
Clause of ISO 14971	Document Ref. in RMF (Document No. & paragraph)	Result - Remarks	Verdict
4.2		Not more restricted range.	
4.3			
4.4			

4.2.3.1	RM RESULTS TABLE: Environmental operating conditions - Continuous operating conditions		N/A
Clause of ISO 14971	Document Ref. in RMF (Document No. & paragraph)	Result - Remarks	Verdict
4.2			
4.3			
4.4			

7.4.1	RM RESULTS TABLE: Additional requirements for warning and safety notices		P
Clause of ISO 14971	Document Ref. in RMF (Document No. & paragraph)	Result - Remarks	Verdict
4.2	Risk management report Page 6, 6.1.1	Intended use is identified	P
4.3	Risk management report Page 8, 6.2.1,	Mechanical energy hazard is identified.	P
4.4	Risk management report Page 13 OP3	The severity of the harm has been estimated as "5". The probability of occurrence of the harm has been estimated in "2".	P
5	Risk management report Page 12	The risk is evaluated as "UACC".	P
6.2	Risk management report Page 14 8.1, OP3	Use product specification or user manual to warn.	P

7.4.5	RM RESULTS TABLE: : Additional requirements for operating instructions		P
Clause of ISO 14971	Document Ref. in RMF (Document No. & paragraph)	Result - Remarks	Verdict

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Clause	Requirement + Test	Result - Remark	Verdict

7.4.5	RM RESULTS TABLE: : Additional requirements for operating instructions		P
Clause of ISO 14971	Document Ref. in RMF (Document No. & paragraph)	Result - Remarks	Verdict
4.3	Risk management report Page 8, 6.2.1,	Mechanical hazard is identified	P
4.4	Risk management report Page 13 OP2	The severity of the harm has been estimated as "2". The probability of occurrence of the harm has been estimated in "1"	P
5	Risk management report Page 12	The risk is evaluated as "ACC'	P
6.2			N/A

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Clause	Requirement + Test	Result - Remark	Verdict

8.4	RM RESULTS TABLE: Additional requirements for interruption of power supply / supply mains to ME Equipment and ME Systems		N/A
Clause of ISO 14971	Document Ref. in RMF (Document No. & paragraph)	Result - Remarks	Verdict
4.2			
4.3			
5			
6.2			
6.3			
6.4			
6.5			
6.6			
6.7			

10.1.2a	TABLE: Shock test (IEC 60068-2-27:2008), using the following conditions*:		P
	Peak acceleration :	150 m/s2 (15 g)	
	Duration :	11 ms	
	Pulse shape :	half-sine	
	Number of shocks :	3 shocks per direction per axis (18 total)	
Direction Shock Applied	Axis Shock Applied	BASIC SAFETY and ESSENTIAL PERFORMANCE maintained? Yes/No	Remarks
Positive	X axis ²	Yes	The enclosure shows no cracks and there is no damaged or loosing part inside the product after test. The EUT worked as normal and passed the dielectric strength test.
Negative	X axis ²	Yes	
Positive	Y axis ²	Yes	
Negative	Y axis ²	Yes	
Positive	Z axis ²	Yes	
Negative	Z axis ²	Yes	
Supplementary information:			
*(NOTE 1 This represents Class 7M1 as described in IEC TR 60721-4-7:2001 [6])			

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Clause	Requirement + Test	Result - Remark	Verdict

10.1.2b	TABLE: Broad-band random vibration test (IEC 60068-2-64:2008) using the following conditions*:		P
1	Acceleration amplitude..... :	10 Hz to 100 Hz: 1,0 (m/s ²) ² /Hz	
2	Acceleration amplitude..... :	100 Hz to 200 Hz: – 3 db per octave	
3	Acceleration amplitude..... :	200 Hz to 2 000 Hz: 0,5 (m/s ²) ² /Hz	
	Duration :	30 min per perpendicular axis (3 total)	
Perpendicular axis subjected to broad-band random vibration test	Acceleration amplitude	BASIC SAFETY and ESSENTIAL PERFORMANCE maintained? Yes/No	Remarks
1	1	Yes	The enclosure shows no cracks and there is no damaged or loosening part inside the product after test. The EUT worked as normal and passed the dielectric strength test.
2	1	Yes	
3	1	Yes	
1	2	Yes	
2	2	Yes	
3	2	Yes	
Supplementary information: * (NOTE 2 This represents Class 7M1 and 7M2 as described in IEC TR 60721-4-7:2001)			

IEC 60601-1			
Clause	Requirement + Test	Result - Remark	Verdict

10.1.3a1	TABLE: Shock test (IEC 60068-2-27:2008) for other than HAND-HELD EQUIPMENT, parts, and mounting ACCESSORIES under the following conditions (Test Type 1):		N/A
	Peak acceleration	150 m/s ² (15 g)	
	Duration.....	11 ms	
	Pulse shape	half-sine	
	Number of shocks.....	3 shocks per direction per axis (18 total)	
Direction Shock Applied	Axis Shock Applied	BASIC SAFETY and ESSENTIAL PERFORMANCE maintained? Yes/No	Remarks
Supplementary information: * (NOTE 3 This represents Class 7M2 as described in IEC/TR 60721-4-7:2001 [6])			

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Clause	Requirement + Test	Result - Remark	Verdict

10.1.3a2	TABLE: Shock test (IEC 60068-2-27:2008) on other than HAND-HELD ME EQUIPMENT, parts, and mounting ACCESSORIES under the following conditions (Test Type 2):		N/A
	Peak acceleration	300 m/s ² (15 g)	
	Duration.....	6 ms	
	Pulse shape	half-sine	
	Number of shocks.....	3 shocks per direction per axis (18 total)	
Direction Shock Applied	Axis Shock Applied	BASIC SAFETY and ESSENTIAL PERFORMANCE maintained? Yes/No	Remarks
Supplementary information:			

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Clause	Requirement + Test	Result - Remark	Verdict

10.1.3b2	TABLE: Shock test (IEC 60068-2-27:2008) on HAND-HELD ME EQUIPMENT parts, and mounting ACCESSORIES using the following conditions (Test Type 2):			N/A
	Peak acceleration :		1000 m/s ² (100 g)	
	Duration..... :		6 ms	
	Pulse shape :		half-sine	
	Number of shocks..... :		3 shocks per direction per axis (18 total)	
Direction Shock Applied		Axis Shock Applied	BASIC SAFETY and ESSENTIAL PERFORMANCE maintained? Yes/No	Remarks
Supplementary information:				

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Clause	Requirement + Test	Result - Remark	Verdict

10.1.3c	TABLE: Broad-band random vibration test (IEC 60068-2-64:2008) on ME EQUIPMENT, parts, and mounting ACCESSORIES using the following conditions*:		N/A
1	Acceleration amplitude	10 Hz to 100 Hz: 1,0 (m/s ²)/Hz	
2	Acceleration amplitude	100 Hz to 200 Hz: - 3 db per octave	
3	Acceleration amplitude	200 Hz to 2 000 Hz: 0,5 (m/s ²)/Hz	
	Duration	30 min per perpendicular axis (3 total)	
Perpendicular axis subjected to broad-band random vibration test	Acceleration amplitude	BASIC SAFETY and ESSENTIAL PERFORMANCE maintained? Yes/No	Remarks
1	1	—	—
2	1	—	—
3	1	—	—
1	2	—	—
2	2	—	—
3	2	—	—
1	3	—	—
2	3	—	—
3	3	—	—
Supplementary information: *(NOTE 5 This represents Class 7M1 and 7M2 as described in IEC/TR 60721-4-7:2001)			

IEC 60601-1			
Clause	Requirement + Test	Result - Remark	Verdict

10.1.3d	TABLE: Free fall test (IEC 60068-2-31:2008), using PROCEDURE 1, on PORTABLE and MOBILE ME EQUIPMENT, parts, and mounting ACCESSORIES (with carrying case if intended), under the following conditions*:			N/A
1	Fall height for mass ≤ 1 kg	0,25 m		
2	Fall height for mass > 1 kg and ≤ 10 Kg	0,1 m		
3	Fall height for mass > 10 kg and ≤ 50 Kg	0,05 m		
4	Fall height for mass > 50 kg	0,01 m		
Specified altitude (m)	Mass (Kg)	Fall No.	BASIC SAFETY and ESSENTIAL PERFORMANCE maintained? Yes/No	Remarks
0,25	≤ 1	1	—	—
0,25	≤ 1	2	—	—
0,1	> 1 & ≤ 10	1	—	—
0,1	> 1 & ≤ 10	2	—	—
0,05	> 10 & ≤ 50	1	—	—
0,05	> 10 & ≤ 50	2	—	—
0,01	> 50	1	—	—
0,01	> 50	2	—	—
Supplementary information: (*NOTE 6 This represents Class 7M2 as described in IEC/TR 60721-4-7:2001)				

11.0	RM RESULTS TABLE: PROTECTION AGAINST STRANGULATION AND ASPHYXIATION		N/E
Clause of ISO 14971	Document Ref. in RMF (Document No. & paragraph)	Result - Remarks	Verdict
4.3			
4.4			
5			
6.2			
6.3			
6.4			
6.5			
6.6			

IEC 60601-1			
Clause	Requirement + Test	Result - Remark	Verdict

11.0	RM RESULTS TABLE: PROTECTION AGAINST STRANGULATION AND ASPHYXIATION		N/E
Clause of ISO 14971	Document Ref. in RMF (Document No. & paragraph)	Result - Remarks	Verdict
Supplementary information:			